

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER: 2503-1002
INTERNATIONAL APPLICATION NO.: PCT/EP00/05503		INTERNATIONAL FILING DATE: 15 JUNE 2000
107031953		
INTERNATIONAL FILING DATE: 15 JUNE 2000		
PRIORITY DATE CLAIMED: 27 JULY 1999		
TITLE OF INVENTION: PHAGE-RESISTANT MICROORGANISMS AND GENETIC DETERMINANTS OF PHAGE RESISTANCE		
APPLICANT(S) FOR DO/EO/US: Giovanni MOGNA, Paolo STROZZI, Simona DI LORENZO, Vittorio BOTTAZZI, Luisa Maria CALLEGARI, Lorenzo MORELLI		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information		
1.	<input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.	
2.	<input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.	
3.	<input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).	
4.	<input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.	
5.	<input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. (see attached copy of PCT/IB/308) c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office.(RO/US). 	
6.	<input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).	
7.	<input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ul style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 	
8.	<input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	
9.	<input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10.	<input type="checkbox"/> A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	
Item 11. to 16. below concern document(s) or information included:		
11.	<input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
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International Search Report PCT/IPEA/409 Application Data Sheet		Abstract of the Disclosure on a Separate Sheet

U.S. APPLICATION NO. (if known, see 37 CFR 1.6)		10/031953	INTERNATIONAL APPLICATION NO CT/EP00/05503	ATTORNEY'S DOCKET NO. 2503-1002
17. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY		
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$ 1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00				
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	15 - 20 =	0	X \$18.00	\$
Independent claims	2 - 3 =	0	X \$84.00	\$
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)		+\$280.00 \$		
TOTAL OF ABOVE CALCULATIONS =		\$ 1,020.00		
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c. <input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required by 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 25-0120 . A duplicate copy of this sheet is enclosed.			
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CUSTOMER NO. 00466 YOUNG & THOMPSON 745 South 23rd Street 2nd Floor Arlington, VA 22202 (703) 521-2297 facsimile (703) 685-0573		By <u>Benoit Castel</u> Benoit Castel Attorney for Applicants Registration No. 35,041		

10/03/1953

10/03/1953

531 Rec'd PCT/... 24 JAN 2002
PATENT
2503-1002

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of: Giovanni MOGNA et al.

Appl. No.:

Group:

Filed:

January 24, 2002

Examiner:

For: PHAGE-RESISTANT MICROORGANISMS AND GENETIC
DETERMINANTS OF PHAGE RESISTANCE

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

January 24, 2002

Sir:

The following preliminary amendments and remarks are respectfully submitted in connection with the above-identified application.

IN THE SPECIFICATION:

Please replace the paragraph beginning on page 4, line 5, with the following rewritten paragraph:

-- The T003 strain has two plasmids, termed pCRB33 and pCRB63, in which two ORFs (Open Reading Frames) were found presenting high homology with the "s" subunits, known to be involved in the type I restriction and modification mechanisms. In the T003 strains these two ORF are incomplete and thus inactive. The above plasmids can recombine, creating the pCRB96 plasmid, in which the

incomplete and inactive ORFs give, upon recombination, a complete and active "s" subunit.--

IN THE CLAIMS:

Please amend the claims as follows:

--7. (amended) Microorganism containing the plasmid of claim 3.--

--9. (amended) Starter culture for milk fermentation, comprising a microorganism of claim 1.--

--10. (amended) Use of a plasmid of claim 3, alone or in combination with a conjugative plasmid, for conferring phage resistance to a bacterium.--

Please add the following claims:

--11. (new) Starter culture for milk fermentation, comprising a microorganism of claim 7.--

--12. (new) Starter culture for milk fermentation, comprising a microorganism of claim 8.--

--13. (new) Microorganism containing the plasmid of claim 4.--

--14. (new) Microorganism containing the plasmid of claim 5.--

--15. (new) Microorganism containing the plasmid of claim 6.--

REMARKS

Claims 1-15 are pending in the present application.

Entry of the above amendments is earnestly solicited.

An early and favorable first action on the merits is earnestly requested.

Should there be any matters that need to be resolved in the present application, the Examiner is respectfully requested to contact the undersigned at the telephone number listed below.

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE."

The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17.

Respectfully submitted,

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BC/ia
Attachments

10/031953

VERSION WITH MARKINGS TO SHOW CHANGES MADE

531 Rec'd PCT/F. 24 JAN 2002

IN THE SPECIFICATION:

The paragraph beginning on page 4, line 5, has been amended as follows:

The B39TO03 strain has two plasmids, termed pCRB33 and pCRB63, in which two ORFs (Open Reading Frames) were found presenting high homology with the "s" subunits, known to be involved in the type I restriction and modification mechanisms. In the TO03 strains these two ORF are incomplete and thus inactive. The above plasmids can recombine, creating the pCRB96 plasmid, in which the incomplete and inactive ORFs give, upon recombination, a complete and active "s" subunit.

IN THE CLAIMS:

The claims have been amended as follows:

7. (amended) Microorganism containing the plasmid of ~~claims 3-6~~claim 3.

9. (amended) Starter culture for milk fermentation, comprising a microorganism of ~~claims 1, 7~~
~~or 8~~claim 1.

10. (amended) Use of a plasmid of ~~claims 3-6~~claim 3, alone or in combination with a conjugative plasmid, for conferring phage resistance to a bacterium.

10/031953

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ABSTRACT OF THE DISCLOSURE

There are described new bacterial strains, plasmids derived thereof, a gene sequence included in the plasmids encoding a phage resistance system protein, and a method to confer phage resistance to microorganism cultures.

PHAGE-RESISTANT MICROORGANISMS AND GENETIC DETERMINANTS
OF PHAGE RESISTANCE

The present invention relates to novel bacterial strains, the plasmids derived thereof, a gene sequence included in the plasmids, encoding a protein of the phage resistance system, and a method to confer such a resistance to microorganism cultures.

5 **INVENTION BACKGROUND**

Lactic bacteria have a fundamental role in the manufacturing process of milk derivatives, particularly of the fermented milks and cheeses.

Their action takes place in the first phases of cheese transformation, inducing some modifications in the milk and/or in the curd, depending on the production rate and amount of lactic acid obtained from lactose fermentation.

The acidifying capacity and total enzyme activity of the lactic bacteria starter culture, used in specific dairy manufacturing steps, are fundamental technological parameters, which determine the organoleptic and structural characteristics of the finished product.

15 These metabolic properties are typical of the different species of lactic bacteria and depend, quantitatively, in different ways, on the number and the degree of vitality of the lactic bacteria in the culture and on their multiplication rate in milk and subsequently in curd.

20 A delay or even worse, a block in the growth starter, can cause serious manufacturing problems, impairing the industrial process as a whole.

25 A more frequent cause of slowed down or completely blocked bacterial replication is due to the presence of bacteriophages, viruses able to replicate inside a bacterial cell. Bacteriophages, or phages, are able to recognize and specifically attack the host cell and, in the lytic cycle, to totally destroy it, releasing dozens or hundreds of other virulent phages able to attack other

sensitive bacterial cells.

The event, first described in 1935, constitutes to date, one of the most serious problems affecting the cheese industry because when the phage infection starts, the possibility arises that production cannot be completed thus determining huge economic loss.

The most promising results for overcoming this problem have been achieved using bacterial cultures comprised of:

- a) strains with different lysotype (phage sensitivity) used in rotation;
- b) phage-resistant strains.

The first solution, at the moment the most adopted by the starter-producing companies, involves considerable organization efforts from culture providers and users, and, in any case, does not permit a complete standardization of the finished product. This is because it is almost impossible to obtain, to isolate, and to produce bacterial strains with identical technological characteristics but with a different lysotype.

The second solution can be obtained by different mechanisms.

The phage-resistant strains arise spontaneously in sensitive populations following phage attack.

The phage-resistance mechanisms outlined in these strains can be grouped into three categories:

1. block of adsorption on the bacterial wall and subsequent block of the phage DNA entry in the cytoplasm;
2. phage DNA restriction (enzyme cleavage) upon entry inside the bacterial cell;
3. interference with the phage DNA duplication mechanisms upon its entrance inside the bacterial cell (abortive infection).

However, spontaneous phage-resistant mutants are generally characterized by a scarce technological aptitude, making them unsuitable for

industrial use (King W.R. et al., *Appl. Environ. Microbiol.*, 1983, 45, 1481-1485; Steenson L.R. et al., *Dairy Sci.*, 1986, 69, 2227-2236).

Recently inventions have been described which deal with the problem of obtaining phage-resistant strains with high technological properties by means of genetic engineering aimed at introducing genes encoding one or more of the above listed mechanisms in starter strains (patents US-5,824,523 and US-5,538,864).

This approach presents some drawbacks from the industrial point of view because these microorganisms and the foods obtained therefrom are included, at least in the European Community, in the "Novel Foods" category, governed by the EC regulation N°. 258/97 of January, 27, 1997.

The possibility to obtain phage-resistant culture strains by using natural gene transfer techniques is of particular interest.

For this purpose it is necessary to select genetic elements able to recombine and mobilize *in vivo* and to contemporarily confer elevated phage resistance levels.

DESCRIPTION OF THE INVENTION

The authors of the present application isolated novel *Streptococcus thermophilus* strains showing phage-resistance. These strains were taxonomically, technologically, and genetically characterized. Furthermore, gene elements responsible for the phage resistance were isolated and characterized.

The parental strain, called TO03, was deposited at the BCCMTM/Img Bacteria Collection (Gent-Belgio) at N. P-18384, whilst the corresponding phage-resistant mutant, termed B39, was deposited at the same collection at N. P-18383. Both strains represent the first aspect of the invention. These strains contain the gene information conferring phage resistance, but only in the B39 strain, in which the two plasmids - otherwise contained as two distinct molecules in the wild type – are genetically recombined, phage resistance is observed. The

B39 phage-resistant phenotype has, for its use in the dairy-milk field, the same properties as the parental strain; in particular, the acidifying rate in milk is the same as that of the TO03 strain, allowing the use of the B39 strain as starter culture in the same dairy processes in which the parental strain is used.

5 The B39 strain has two plasmids, termed pCRB33 and pCRB63, in which two ORFs (Open Reading Frames) were found presenting high homology with the "s" subunits, known to be involved in the type I restriction and modification mechanisms. In the TO03 strains these two ORF are incomplete and thus inactive. The above plasmids can recombine, creating the pCRB96 plasmid, in
10 which the incomplete and inactive ORFs give, upon recombination, a complete and active "s" subunit.

The pCRB33, pCRB63, and pCRB96 plasmids are further embodiments of the invention.

Such plasmids are described in the following example 1. In particular, the
15 complete restriction map is provided for each plasmid.

In another aspect, the invention relates to the gene determinant responsible for conferring phage resistance. This determinant corresponds to the ORF of pCRB96 plasmid, encoding the above mentioned "s" subunit, whose sequence is reported in SEQ. ID N. 1. Such a protein, in the type I restriction and
20 modification systems, confers specificity to the restriction enzyme and methylase. The subunit alone is not able to confer phage resistance; actually transferring it to a heterologous host does not automatically determine phenotype change, the presence of gene encoding the two involved enzymes being necessary. The introduction in a host containing a complete type I R/M of a heterologous s
25 subunit, may result in an enhanced phage resistance, comparable to a complete R/M system. In fact, the s subunit alone can change the system specificity, without inhibiting the pre-existing one. Resistance results from the sum of the effects of the two subunits.

The gene determinant can be inserted in any suitable plasmid using conventional techniques (for example as described in Maniatis, T. et al., Molecular Cloning: A Laboratory Manual, Cold Spring Harbor Laboratory, 1982).

A plasmid containing the gene determinant for phage resistance described herein is another object of the invention.

The non-conjugative plasmids, such as pCRB96, can be used in conjunction with other plasmids able to mediate their transfer. Therefore, in another aspect, the invention relates to the use of plasmids containing the gene determinant of phage resistance described herein, alone or in combination with a conjugative plasmid, to confer phage resistance to bacteria.

Another aspect of the invention relates to a host microorganism in which the plasmid containing the phage resistance gene determinant, in accordance with the invention, is able to replicate. The plasmid can be introduced by conventional techniques, such as conjugative transfer and transformation.

Besides *Streptococcus thermophilus*, the host can be *Bacillus subtilis* or *Escherichia coli*, or preferably *Lactococcus lactis*. The introduction by transformation of the s subunit in a heterologous host of genera and species other than *Streptococcus thermophilus*, but endowed with the same industrial interest, can be accomplished by vectors suitable for the host itself. The microorganisms containing the plasmid of the invention are particularly useful in the production of milk derivative such as fermented milks and cheeses. They are used as starter cultures, comprised of single or multiple strains.

DETAILED DESCRIPTION OF THE INVENTION

The *Streptococcus thermophilus* TO03 strain, used as starter culture in the fresh and pasta filata cheeses, proved to be sensitive to the lytic bacteriophage SST3 attack.

The TO03 strain was characterized by:

- taxonomy, obtained by hybridization with a 23 S rRNA specific

probe according to Ehmann et al., 1992 (Fig. 1);

- sugar fermentation profile, obtained by API tunnels, which was positive to glucose, fructose, lactose and sucrose;
- acidifying capacity in sterile skim milk at 37°C, as determined by continuous pH detection (Fig. 2);
- two extra-chromosomal DNA or plasmids, 3,3 and 6,3 kb in size, named pCRB33 and pCRB63, respectively (Fig. 3).

The TO03 strain was attacked by the SST3 lytic phage, with a phage/bacterial cell ratio of 1:10 (m.o.i. 0,1). The surviving cells were plated on M17 agar medium. The plates were then incubated under anaerobiosis at 42°C overnight. This procedure allowed the identification of 40 colonies of the original bacterial population, constituted of 10^9 CFU/ml. The isolated colonies were further assayed for phage resistance.

Also the obtained phage-resistant isolates were characterized by their sugar fermentation profile, their acidifying capacity in milk and extra-chromosomal DNA content.

Thus the resistant phage isolates could be divided into two groups:

- Group R1 comprising isolates containing the two plasmids, pCRB33, pCRB63 and the additional pCRB96 plasmid.
- Group R2 comprising isolates containing the pCRB33 and the additional pCRB96 plasmid.

All isolates were taxonomically identical to the parental strain, showed the same sugar fermentation profile, but grew more slowly in milk, making them of low technological usefulness.

An isolate of the R2 group was successively grown in liquid M17 at 30°C, a temperature lower than the optimal one. The bacterial population obtained in these conditions was plated on agar medium and further subjected to phage attack.

The CFU, that proved to be stably phage-resistant, about 50% of the isolates, were thus again tested in order to evaluate their acidifying rate in milk. Surprisingly, in one of these colonies, termed B39, an acidifying rate similar to that of the parental strain was observed (Fig. 2).

5 The plasmid profile analysis of this strain demonstrated the presence of the 9,6 kb plasmid, termed pCRB96, alone.

In order to study the involvement of the pCRB96 plasmid in phage resistance, we proceeded to the curing of the plasmid itself. The obtained clone, 10 termed C48, was free of plasmids and SST3 phage sensitive. In order to obtain a further confirmation of the role of this plasmid, we proceeded to reintroduce it by conjugation into the C48 clone. However the C48 clone had the same phenotypic characters as the B39 strain, making discrimination between donors and recipients after the conjugation impossible. To overcome this drawback we selected a fusidic acid-resistant clone, starting from C48.

15 The C48 strain was UV irradiated and the surviving cells were seeded in M17 agar medium, containing fusidic acid. The aim was to select a mutant to this type of antibiotic in order to use it as a recipient selector after conjugation.

The obtained clone was named TO60.

20 The UV rays did not alter the clone SST3 phage sensitivity. Because the pCRB96 plasmid is conjugative, it was necessary to perform a co-transfer of the plasmid itself mediated by pAM β 1. This is a conjugative plasmid and it encodes erithromycin resistance. The subsequent steps, aimed at obtaining the plasmid transfer, are illustrated in Fig. 4 and can be summarized as follows:

25 - the pAM β 1 plasmid was transferred from the donor strain *Lactobacillus lactis* subsp. *lactis* SH4174, to the B39 clone by a first conjugation. The colonies of this donor strain were counted in M17 plates containing glucose and erithromycin, and incubated at 30°C under anaerobic conditions. The trans-conjugating colonies were selected on M17 plates containing lactose and

erithromycin and incubated at 42°C in anaerobic conditions;

- in a second conjugation event we used the B39 clone (containing pAM β ₁) as the donor strain and the TO60 clone as the recipient strain. In this case, the expected trans-conjugants were resistant to erithromycin and also to fusidic acid. All the colonies with these characteristics were assayed for phage resistance.

Some of them (11 over a total of 350 assayed colonies) proved to be phage resistant. The plasmid content of these clones demonstrated the contemporary presence of the pAM β ₁ and the pCRB96 plasmids.

10 The curing experiments and the pCRB96 plasmid transfer have thus demonstrated that the phage resistance of the clones isolated starting from the TO03 strain was linked to the presence of such plasmid.

15 The phage-resistant clones did not show full resistance against the SST3 phage, but the number of the phage plaques obtained on the plate was reduced compared to the PFU number obtained with the TO03 strain of at least 2 log. In order to identify the type of phage resistance involved, we performed cross-hybridizations between the SST3 phage propagated in the TO03 strain, and the same phage propagated in the B39 strain.

For convenience the latter phage was called SST39.

20 As demonstrated in table 1 the phage titrated on the sensitive strain, showed a higher titre than that obtained when the host strain was B39. On the other hand the phage multiplied on the B39 strain produced the same PFU/ml when titrated on the two strains.

Table 1

Host strain	SST3 phage	SST39 phage
	PFU/ml	PFU/ml
TO03	2×10^8	3×10^7
B39	3×10^6	3×10^7
C48	2×10^8	3×10^7

Table 2 outlines the results of the titrations obtained on the sensitive strains and on the strain resistant to the SST39 propagated on TO03 and B39, respectively. It is possible to observe that the SST39 phage lost its ability to attack with high efficiency the phage resistant strain upon propagation on the TO03 strain. This behavior is typical of restriction and modification systems. We thus attributed a role in an R/M system to the pCRB96 plasmid.

Table 2

Host strain	SST39/TO03 phage	SST39/B39 phage
	PFU/ml	PFU/ml
TO03	2×10^7	3×10^8
B39	3×10^5	3×10^8
C48	2×10^7	3×10^8

Plasmid DNA analysis

The restriction map analysis of the pCRB33, pCRB63, and pCRB96 plasmids suggested that the latter could be the result of the integration of the two plasmids originally located in the TO03 phage-resistant strain. The first confirmations were obtained in DNA/DNA hybridization experiments. With the latter method, in fact, signals were obtained when the pCRB96 plasmid was hybridized to probes comprised of pCRB33 and pCRB63 fragments.

In order to obtain further evidence of the integration event we conducted cloning and sequencing of the pCRB33 plasmid. The plasmid graphic

representation is shown in Fig. 5. From the sequence analysis we could localize two complete ORFs, indicated in fig. 5 ORF1 and ORF2, respectively.

The ORF2 showed a high homology (87%) with respect to the sequence of the RepA protein, located on the pST1 plasmid of the Streptococcus 5 thermophilus ST strain (deposit number GENE BANK X65856). A termination region was found downstream from the coding region, constituted of repeated sequences with 86% homology compared to that of the above mentioned RepA. The ORF1 shows homology in some portions to many s subunits of type I restriction and modification system.

10 The higher homologies were found in a 133 bp region whose sequence contains one of the two conserved motifs from the s subunits. The same homology to the s subunits was found also in a region of 153 bp outside the ORF1 and 473 bp distant from the end of the first region. These two regions can be considered two repeated direct sequences. We named the first 133 bp 15 sequence DR1, and the second 153 bp sequence DR2.

20 Using a primer set designed on the sequence of the two DR found in pCRB33, the pCRB96 plasmid was amplified by PCR. The amplification product was made up of two fragments of 3,3 and 6,3 kb, respectively. These results induced us to hypothesize that the two DRs would be located in the integration region.

In summary pCRB33 contains a gene encoding a protein responsible for replication and probably two DR involved in the integration event.

25 We thus cloned and determined the nucleotide sequence of the pCRB63 plasmid. The sequence analysis did not show any homology to the known genes encoding for phage resistance apart from the ORF1, whose sequence showed a region endowed with high homology with respect to the different s subunit of type I restriction and modification systems, exactly as previously demonstrated for the pCRB33 plasmid ORF1. The revealed homology also in this case concerned the

conserved motifs of the s subunits. Also in the pCRB63 plasmid are present the two DR, exactly as for pCRB33.

The pCRB96 plasmid was thus fully sequenced and resulted to be a co-integration product of the pCRB33 and pCRB63 plasmids.

5 The two regions in which the integration takes place are those delimited by the two DRs, whilst the region between them is where the two plasmids are cut and joined together. In fact, in pCRB96 there are 2 regions in which DR1 and DR2 are present. The pCRB33 DR1 is, in this case, associated with the pCRB63 DR2, whilst the smaller plasmid DR2 is associated with the pCRB63 DR1.

10 The ORF1 (Fig. 2), with high homology to the s subunits of type 1 R/M systems, in particular to the s subunit isolated from *Lactobacillus lactis* IL-1403 and the s subunit of *Lld* of *Lactococcus lactis* subsp. *cremoris* (deposit number GENE BANK AF 034786 and U90222) which are 55% homologous, was located in one of the integration regions. In the case of pCRB96, the sequence, 15 homology, and phenotype demonstrate that the s subunit encoding gene is complete and functional.

20 On the contrary, pCRB33 and pCRB63 contain ORFs with homology to genes encoding the s subunit of type I R/M systems, but they are incomplete and thus not functional. Only pCRB96, by means of integration, has the functional gene, whose sequence is the sum of pCRB33 ORF1 and pCRB63 ORF1 portions. The sequence of the entire pCRB96 s subunit is reported in Seq ID N°.

1.

BRIEF DESCRIPTION OF THE FIGURES

25 **Figure 1:** Taxonomic identification of the TO03 and B39 strains

Probe used: CATGCCTTCGCTTACGCT

Probe and hybridization protocol according to Ehrmann et al. (1992) "Species-specific oligonucleotide probe for the identification of *Streptococcus thermophilus*", Systematic and Applied Microbiology, 15, 453-455.

Hybridization results:

A1: Model strain of the species *Streptococcus thermophilus* DSM 20617 (positive control);

A2: DNA extracted from *Lactobacillus helveticus* ATCC 15009 (negative control);

5 B1: DNA extracted from *Streptococcus thermophilus* B39;

B2: DNA extracted from *Streptococcus thermophilus* TO03.

Positive signals were obtained from the reference strain DSM 20617 and from the TO03 and B39 strains under investigation, thus confirming to be *Streptococcus thermophilus* species.

10 **Figure 2:** Acidification curve: 1% inoculum, sterile skim milk, 37°C.

Figure 3: Plasmid profile of the TO03 (well 2) and B39 (well 4) strains.

Figure 4: Scheme of the conjugations performed in order to co-transfer pAMβ1 and pCRB96 plasmids.

Figure 5: Schematic representation of pCRB33.

15 ORF1: ORF located from nt 411 to nt 1308, corresponding to 299 amino acids, of the appended pCRB33 nucleotide sequence. This ORF shows homology to different subunits of type I restriction systems.

ORF2: ORF located from nt 2070 to nt 2960. This ORF shows 87% homology to the pST1 RepA (acc. num. X65856).

20 The following examples illustrate the invention in further detail.

EXAMPLE 1

Characterization of the pCRB33, pCRB63, and pCRB96 plasmids.

The following tables report the restriction profiles of the pCRB33, pCRB63, and pCRB96 plasmids.

Table 3: pCRB33 plasmid, 3375 base pairs:

Enzyme name	Nº. cuts	Site positions	Recognition sequence
Accl		1233	gt/mkac
Alul		134 138 161 466 622 1137 1670 1714 2259 2421 2745 2932 2982	ag/ct
Asel		2055	at/taat
Asnl		2055	at/taat
Avall		2199 2213 2227	g/gwcc
Ddel		157 1144 1215 1671 1695 2159 2203 2255	c/tnag
DpnI		985 2253	ga/tc
DraI		2828 3024	ttt/aaa
EcoRI		39 1804	g/aattc
EcoRV		636	gat/atc
FokI		259	ggatg
HaeIII		2157 2173 3092 3275	gg/cc
HindIII		1712 2257 2743	a/agctt
HinfI		1223 1697 2006 2102 2341	g/antc
HpaII		202 2174	c/cgg
KpnI		1565	ggta/c
MaeI		135 139 669 733 784 1877 2848 2854 3084 3269 3277	c/tag
MaeII		1081 1201 1468 2887 3049 3174 3306	a/cgt

(continued)

Table 3 (continued)

Enzyme name	N°. cuts	Site positions	Recognition sequence
MaeIII	8	712 1082 1177 1752 2499 2872 3045 3307	/gtcac
MboI	2	983 2251	/gatc
MseI	23	8 167 237 243 287 335 358 518 616 1095 1329 1904 1996 2055 2195 2378 2447 2636 2741 2827 2965 3023 3180	t/taa
NotI	1	3090	gc/ggccgc
PstI	1	3101	ctgca/g
PvuII	1	2421	cag/ctg
Sau3AI	2	983 2251	/gatc
Sau96I	3	2199 2213 2227	g/gncc
Spel	2	1876 3083	a/ctagt
TaqI	12	1 632 638 763 1254 1735 1849 2004 2111 2985 3104 3372	t/cga
XbaI	1	732	t/ctaga

wherein:

r = a or g; **k** = g or t; **h** = a or c or t; **d** = a or g or t; **y** = c or t; **s** = c or g; **b** = c or g or t; **n** = a or c or g or t; **m** = a or c; **w** = a or t; **v** = a or c or g.

The following endonucleases did not cleave the pCRB33 sequence:

ApaI, **AvaI**, **BamHI**, **BclI**, **BglI**, **CfoI**, **Clal**, **Haell**, **HincII**, **HindII**, **HpaI**, **Ncol**, **PvuI**, **SacI**, **SacII**, **SalI**, **SmaI**, **SohI**, **XbaI**, **XmaI**.

Table 4: pCRB63 plasmid, 6148 base pairs:

Enzyme name	Nº. cuts	Site positions	Recognition sequence
Accl	4	165 312 2451 4102	gt/mkac
AluI	20	692 850 1358 1487 1518 1965 2264 2270 2471 2625 2913 3155 3667 3717 3744 3793 4038 4270 4648 5530	ag/ct
Asel	2	81 5115	at/taat
AsnI	2	81 5115	at/taat
Aval	1	2702	c/yccrg
Avall	2	200 4368	g/gwcc
Cfol	8	1203 1897 2046 2609 2909 4097 4442 4483	gcg/c
Clal	2	276 322	at/cgat
Ddel	11	61 120 346 1930 2130 3953 4251 4276 4581 5002 5904	c/tnag
DpnI	7	363 1029 1067 4890 5032 5718 5917	ga/tc
DraI	5	922 2773 4304 5261 5483	ttt/aaa
EcoRI	2	1569 3413	g/aattc
EcoRV	2	274 2510	gat/atc
FokI	6	3875 3905 3911 4961 5500 5565	ggatg
HaeII	2	1898 4098	rgcgc/y
HaeIII	3	2189 3001 5891	gg/cc
HincII	2	2452 3706	gty/rac

(continued)

Table 4 (continued)

Enzyme name	N°. cuts	Site positions	Recognition sequence
HindII	2	2452 3706	gtt/rac
HindIII	1	2623	a/agctt
HinfII	12	124 324 344 380 612 1434 2146 2453 3955 4255 5355 5448	g/antc
HpaII	3	194 3396 5812	c/cgg
KpnI	1	580	ggta/c/c
MaeI	16	609 1580 1916 2271 2540 2721 2910 3597 4079 4122 4184 4622 4985 5231 5272 5945	c/tag
Maell	19	88 211 355 877 1529 2092 2318 2545 2827 2877 3283 3349 3367 3624 3686 3887 3987 4192 6016	a/cgt
Maelli	12	240 341 1094 1260 2011 2273 2878 3350 3590 4056 4773 5379	/gtnac
MboI	7	361 1027 1065 4888 5030 5716 5915	/gatc
MseI	44	81 99 147 207 375 921 1062 1151 1481 2219 2410 2711 2772 3020 3092 3204 3221 3443 3491 3544 3644 3694 3750 3825 3937 3993 4003 4141 4229 4303 4407 4530 4550 4750 4847 5015 5115 5260 5455 5482 5548 5626 5630 5666	t/taa
PvuI	1	364	cgat/cg

(continued)

Table 4 (continued)

Enzyme name	N°. cuts	Site positions	Recognition sequence
SacI	1	3795	gagct/c
SacII	1	2020	ccgc/gg
Sall	1	2450	g/tcgac
Sau3AI	7	361 1027 1065 4888 5030 5716 5915	/gatc
Sau96I	3	200 4368 5889	g/gncc
Spel	1	4183	a/ctagt
SphI	1	5743	gcatg/c
TaqI	13	276 322 2377 2451 2465 2473 2620 2808 2866 3377 3726 4666 5921	t/cga
XbaI	4	608 2720 4078 4121	t/ctaga

r = a or g; **k** = g or t; **h** = a or c or t; **d** = a or g or t; **y** = c or t; **s** = c or g; **b** = c or g
5 or t; **n** = a or c or g or t; **m** = a or c; **w** = a or t; **v** = a or c or g.

The following endonucleases did not cleave the pCRB33 sequence:

ApaI, BamHI, BclI, BglI, HpaI, NcoI, PstI, PvuII, SmaI, XbaI, XmaI.

Table 5: pCRB96 plasmid, 9515 base pairs:

Enzyme name	Nº. cuts	Site positions	Recognition sequence
Accl	5	1150 1297 3436 5087 7381	gt/mkac
Alul	33	134 138 161 466 622 1677 1835 2343 2472 2503 2950 3249 3255 3456 3610 3898 4140 4652 4702 4729 4778 5023 5255 5633 6515 7284 7815 7859 8402 8564 8888 9072 9122	ag/ct
Asel	3	1066 6100 8200	at/taat
Asnl	3	1066 6100 8200	at/taat
Aval	1	3687	c/ycgrg
Avall	5	1185 5353 8342 8356 8370	g/gwcc
Cfol	8	2188 2882 3031 3594 3894 5082 5427 5468	gcg/c
Clal	2	1261 1307	at/cgat
Ddel	18	157 1046 1105 1331 2915 3115 4938 5236 5261 5566 5987 6889 7363 7816 7840 8302 8346 8398	c/tnag
DpnI	9	1348 2014 2052 5875 6017 6703 6902 7132 8396	ga/tc
Dral	7	1907 3758 5289 6246 6468 8969 9164	ttt/aaa
EcoRI	4	39 2554 4398 7949	g/aattc
EcoRV	3	636 1259 3495	gat/atc

(continued)

Table 5 (continued)

Enzyme name	N°. cuts	Site positions	Recognition sequence
FokI	7	259 4860 4890 4896 5946 6485 6550	ggatg
HaeII	2	2883 5083	rgcgc/y
HaeIII	7	3174 3986 6876 8300 8316 9232 9415	gg/cc
HincII	2	3437 4691	gtt/rac
HindII	2	3437 4691	gtt/rac
HindIII	4	3608 7857 8400 8886	a/agctt
HinfII	17	1109 1309 1329 1365 1597 2419 3131 3438 4940 5240 6340 6433 7371 7842 8151 8245 8484	g/antc
HpaII	5	202 1179 4381 6797 8317	c/cgg
KpnI	2	1565 7710	ggta/c
MaeI	27	135 139 669 733 784 1594 2565 2901 3256 3525 3706 3895 4582 5064 5107 5169 5607 5970 6216 6257 6930 8022 8988 8994 9224 9409 9417	c/tag
Maell	26	1073 1196 1340 1862 2514 3077 3303 3530 3812 3862 4268 4334 4352 4609 4671 4872 4972 5177 7001 7228 7349 7614 9027 9189 9314 9446	a/cgt

(continued)

Table 5 (continued)

Enzyme name	N°. cuts	Site positions	Recognition sequence
MaelIII	21	712 1225 1326 2079 2245 2996 3258 3863 4335 4575 5041 5758 6364 7229 7325 7897 8415 8642 9012 9185 9447	/gttnac
MboI	9	1346 2012 2050 5873 6015 6701 6900 7130 8394	/gatc
MseI	68	8 167 237 243 287 335 358 518 616 1066 1084 1132 1192 1360 1906 2047 2136 2466 3204 3395 3696 3757 4005 4077 4189 4206 4428 4476 4529 4629 4679 4735 4810 4922 4978 4988 5126 5214 5288 5392 5515 5535 5735 5832 6000 6100 6245 6440 6467 6533 6611 6615 6651 7242 7291 7475 8049 8141 8200 8338 8521 8590 8779 8884 8968 9105 9163 9320	t/taa
NotI	1	9230	gc/ggccgc
PstI	1	9241	ctgca/g
PvuI	1	1349	cgat/cg
PvuII	1	8564	cag/ctg
SacI	1	4780	gagct/c
SacII	1	3005	ccgc/gg
SalI	1	3435	g/tcgac
Sau3AI	9	1346 2012 2050 5873 6015 6701 6900 7130 8394	/gatc
Sau96I	6	1185 5353 6874 8342 8356 8370	g/gncc
SpeI	3	5168 8021 9223	a/ctagt
SphI	1	6728	gcatg/c

(continued)

Table 5 (continued)

Enzyme name	N°. cuts	Site positions	Recognition sequence
TaqI	23	1 638 1261 1307 3362 3436 3450 3458 3605 3793 3851 4362 4711 5651 6906 7402 7880 7994 8149 8254 9125 9244 9512	t/cga
XbaI	5	732 1593 3705 5063 5106	t/ctaga

r = a or g; **k** = g or t; **h** = a or c or t; **d** = a or g or t; **y** = c or t; **s** = c or g; **b** = c or g or t; **n** = a or c or g or t; **m** = a or c; **w** = a or t; **v** = a or c or g.

5 The following endonucleases did not cleave the pCRB96 sequence:

Apal, BamHI, BclI, BglI, Hpal, Ncol, **SmaI**, **XbaI**, Xmal.

EXAMPLE 2

Conjugative transfers

1. In the first conjugation cycle, the cultures of the donor strain *Lactobacillus lactis* SH4174 containing the pAM β 1 plasmid encoding erythromycin resistance, and cultures of the recipient strains *Streptococcus thermophilus* B39, containing the pCRB96 plasmid, are grown.

2. In the second conjugation cycle, the donor and recipient strain cultures of *Streptococcus thermophilus* B39 (pAM β 1) containing the pAM β 1 plasmid and the pCRB96 plasmid, and of *Streptococcus thermophilus* TO60, plasmid-free and resistant to fusidic acid, respectively, are grown.

Procedure

Equal volumes are taken from both cultures and mixed.

From this mix 0,2 ml are taken, placed on a Petri dish containing M17 medium without any selection agent, uniformly plated, and incubated from 6 to 30 hours.

The bacterial cells grown on this medium are harvested with 1 ml of saline

and then appropriate decimal dilutions, in culture media (see table) suitable for selecting donor and recipient strains, and possible trans-conjugants present in the conjugation mix, were seeded on plate.

Table 6: First conjugation cycle.

5

	STRAINS	SELECTION
DONORS	SH4174	30°C, 50 µg/ml erithromycin, glucosate M17 medium
RECIPIENT S	B39 (pCRB96)	42°C, lactosate M17 medium
TRANS-CONJUGATES	B39 (pCRB96-pAMβ1)	42°C, lactosate M17 medium, 10 µg/ml erithromycin

Table 7: Second conjugation cycle.

	STRAINS	SELECTION
DONORS	B39 (pCRB96-pAMβ1)	42°C, lactosate M17 medium, 10 µg/ml erithromycin
RECIPIENTS	TO60	42°C, lactosate M17 medium, 10 µg/ml fusidic acid
TRANS-CONJUGATES	TO60 (pCRB96-pAMβ1)	42°C, lactosate M17 medium, 10 µg/ml erithromycin, 10 µg/ml fusidic acid

10

Two types of trans-conjugants are expected from the second conjugation cycle:

one containing only the conjugative pAMβ1 plasmid and one containing both

pCRB96 and pAM β 1 plasmids.

Results

Table 8: First conjugation cycle.

	STRAINS	Colony Forming Units
DONORS	SH4174	10^7
RECIPIENTS	B39 (pCRB96)	10^9
TRANS-CONJUGATES	B39 (pCRB96-pAM β 1)	1000

-5

Table 9: Second conjugation cycle.

	STRAINS	Colony Forming Units
DONORS	B39 (pCRB96-pAM β 1)	10^8
RECIPIENTS	TO60	10^8
TRANS-CONJUGANTS	TO60 (pAM β 1)	10^4 (pAM β 1)
	TO60 (pCRB96-pAM β 1)	50 (pCRB96-pAM β 1)

In the second conjugation cycle only 50 Colony Forming Units were subjected to co-mobilization of the pCRB96 plasmid by the pAM β 1 plasmid.

The phage resistance levels of the TO60 trans-conjugants (pCRB96-pAM β 1) were identical to those of B39.

The phage sensitivity levels of TO60 and TO60 (pAM β 1) were identical to those of TO03.

CLAIMS

1. *Streptococcus thermophilus* strains deposited at BCCM/LMG (Gent/Belgium) P-18383 and P-18384.

5 2. Nucleic acid molecule having the sequence Seq. ID N°. 1.

3. Plasmid containing the nucleic acid molecule of claim 2.

4. Plasmid obtainable from a culture of *Streptococcus thermophilus* strain N. P-18384 according to claim 1, having 3375 base pairs and a single restriction site for each *AccI*, *Asel*, *AsnI*, *EcoRV*, *FokI*, *NotI*, *PstI*, *PvuII*, *XbaI* nuclease.

10 5. Plasmid obtainable from a culture of *Streptococcus thermophilus* strain N. P-18384 according to claim 1, having 6184 base pairs and a single restriction site for each *AvaI*, *HindIII*, *KpnI*, *PvuI*, *SacI*, *SacII*, *Sall*, *SpeI*, *SphI* nuclease.

6. Plasmid obtainable from a culture of *Streptococcus thermophilus* strain N. P-18383 according to claim 1, having 9515 base pairs and a single restriction site for each *AvaI*, *NotI*, *PstI*, *PvuI*, *SacI*, *SacII*, *Sall*, *SphI* nuclease.

15 7. Microorganism containing the plasmid of claims 3-6.

8. Microorganism according to claim 7, selected from *Streptococcus thermophilus*, *Lactobacillus lactis*, *Bacillus subtilis*, *Escherichia coli*, *Lc. lactis subsp. lactis*, *Lc. lactis subsp. *diacetylactis**, *Lc. Cremoris*, *Lb. delbrueckii subsp. Lactis*, *Lb. delbrueckii subsp. *bulgaricus**, *Lb. delbrueckii subsp. *delbrueckii**, *Lb. Helveticus*, *Lb. casei*.

9. Starter culture for milk fermentation, comprising a microorganism of claims 1, 7 or 8.

10. Use of a plasmid of claims 3-6, alone or in combination with a conjugative plasmid, for conferring phage resistance to a bacterium.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
1 February 2001 (01.02.2001)

PCT

(10) International Publication Number
WO 01/07566 A2

(51) International Patent Classification⁷: C12N 1/00

(21) International Application Number: PCT/EP00/05503

(22) International Filing Date: 15 June 2000 (15.06.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
MI99A001654 27 July 1999 (27.07.1999) IT

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(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

— Without international search report and to be republished upon receipt of that report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 01/07566 A2

(54) Title: PHAGE-RESISTANT MICROORGANISMS AND GENETIC DETERMINANTS OF PHAGE RESISTANCE

(57) Abstract: There are described new bacterial strains, plasmids derived thereof, a gene sequence included in the plasmids encoding a phage resistance system protein, and a method to confer phage resistance to microorganism cultures.

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PCT/EP00/05503

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FIGURE 1

A

B



10,031953

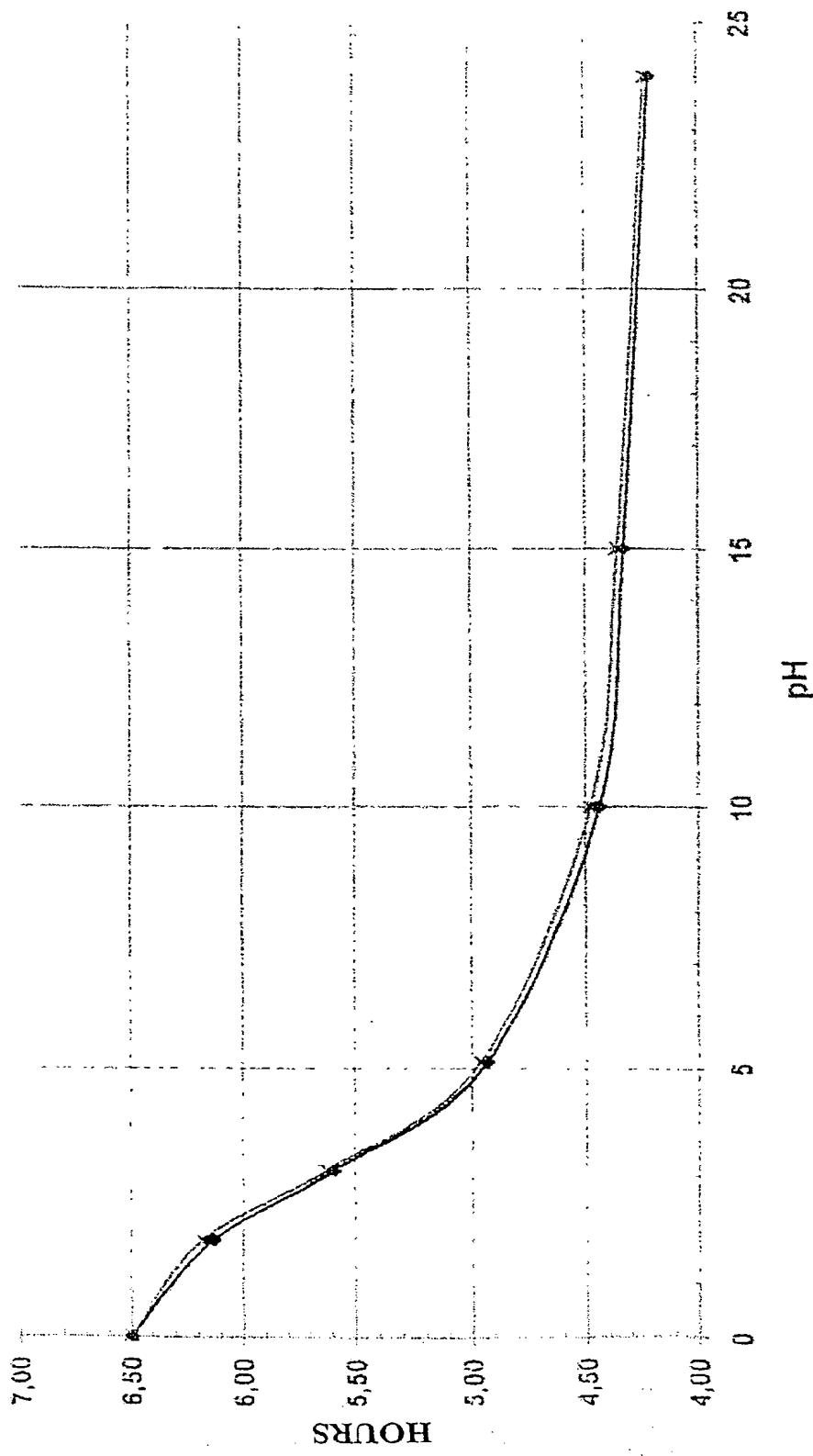
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FIGURE 2

— T003
— T039



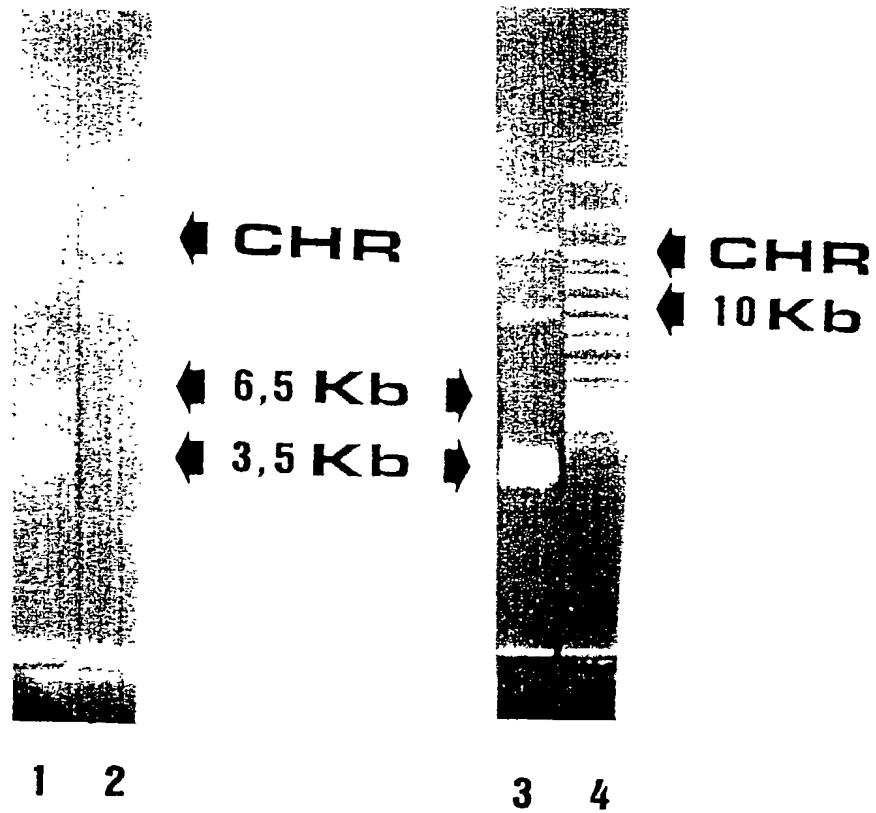
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FIGURE 3



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FIGURE 4

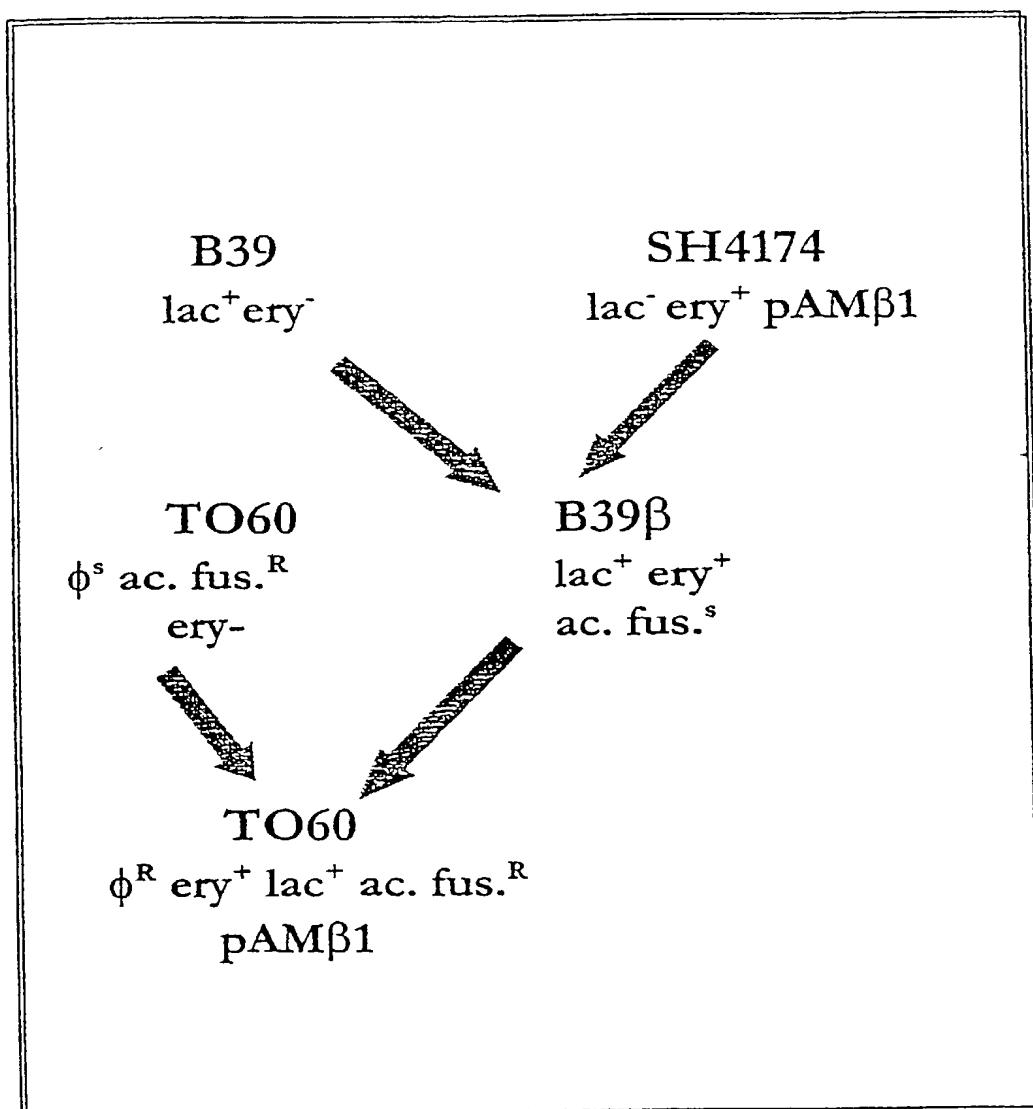
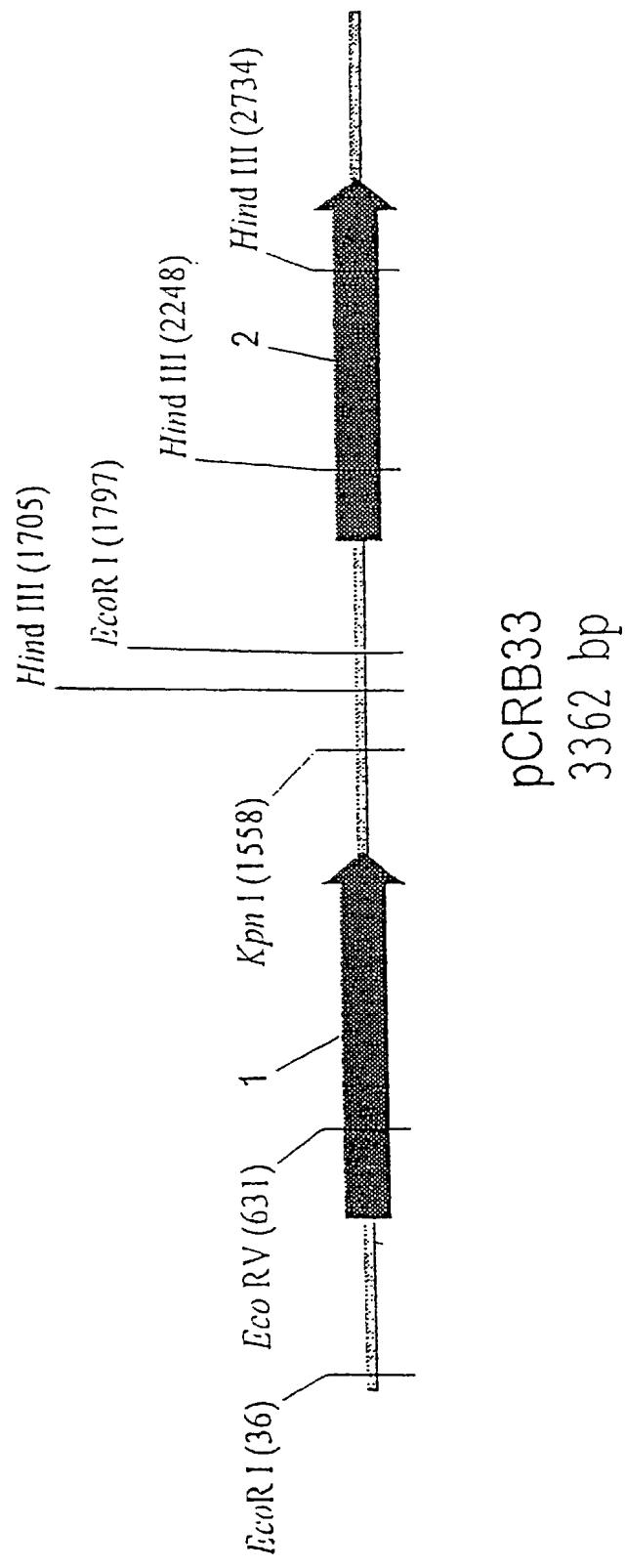


FIGURE 5



COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Phage-resistant microorganisms and genetic determinants of phage resistance

the specification of which: *(check one)*

REGULAR OR DESIGN APPLICATION

is attached hereto.

was filed on _____ as application Serial No. _____ and was amended on _____ (if applicable).

PCT FILED APPLICATION ENTERING NATIONAL STAGE

was described and claimed in International application No. PCT/EP00/05503 filed on 15.06.2000 and as amended on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

PRIORITY CLAIM

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN APPLICATION(S)

Country	Application Number	Date of Filing (day, month, year)	Priority Claimed
Italy	MI99A001654	27.07.1999	YES

(Complete this part only if this is a continuing application.)

I hereby claim the benefit under 35 USC 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

POWER OF ATTORNEY

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from _____ as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

As a named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Robert J. PATCH, Reg. No. 17,355, Andrew J. PATCH, Reg. No. 32,925, Robert F. HARGEST, Reg. No. 25,590, Benoît CASTEL, Reg. No. 35,041, Eric JENSEN, Reg. No. 37,855, and Thomas W. PERKINS, Reg. No. 33,027, c/o YOUNG & THOMPSON, Second Floor, 745 South 23rd Street, Arlington, Virginia 22202.

Address all telephone calls to Young & Thompson at 703/521-2297.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

100 Full name of sole or first inventor: Giovanni MOGNA
(given name, family name)

Inventor's signature  Date 13-02-2002

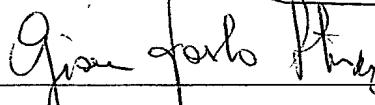
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(given name, family name)

Inventor's signature  Date 13-02-2002

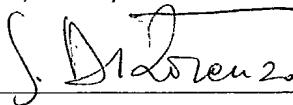
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Inventor's signature _____

Date

Residence _____

Citizenship _____

Post Office Address _____

Full name of eighth joint inventor, if any _____

Inventor's signature _____

Date

Residence _____

Citizenship _____

Post Office Address _____

100-31953 160031953
531 Rec'd PCT 24 JAN 2002

WO 01/07566

PCT/EP00/05503

1 / 1

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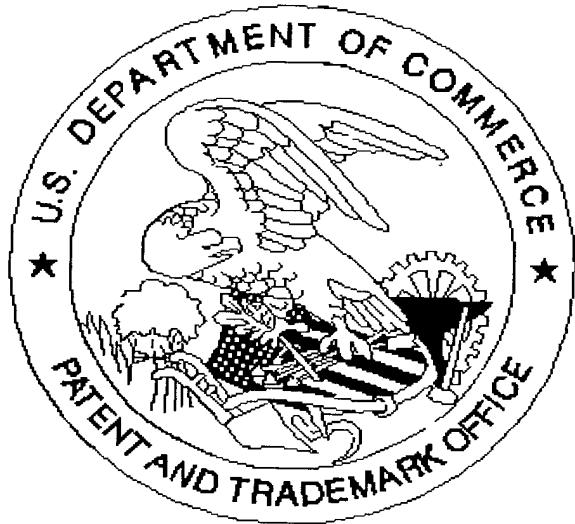
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Drawing figures 1, 3 are too dark.